# Development and validation of proactive coping smoking cessation in adolescents

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# ABSTRACT

Smoking is one of the most significant lifestyle factors contributing to the global disease burden. Individuals who use proactive coping will improve their environment and life rather than reacting to the past and planning for the future by constructing and pooling available resources to deal with stressors. However, instruments measured proactive coping toward smoking cessation are limited. This study aimed to develop and test the validity and reliability of proactive coping toward smoking cessation in adolescents. The data collection process was divided into two phases, namely instrument development and psychometric testing. Exploratory factor analysis and reliability testing were conducted on 300 adolescents. Proactive coping smoking cessation (PCSC) is the development of proactive coping inventory (PCI). Loading factor coefficient on support seeking 0.54-0.82, reflective coping 0.585-0.823, strategic planning 0.580-0.736, proactive coping 0.439-0.648, avoidance 0.586-0.826 and preventive coping 0.507-0.707. Cronbach's alpha for PCSC ranged from 0.970 to 0.972. PCSC shows the acceptable internal and external consistency and the results of the exploratory factor analysis (EFA) verify that the six-factor model correctly represents the original PCI factor structure. Future studies are required to test the instrument in different setting and culture

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# 1. INTRODUCTION

One of the most important lifestyle variables contributing to global disease burden is smoking [1]. Smoking is thought to be harmful to healthy people, who are more motivated to smoke if they believe that smoking provides them with beneficial psychological stability [2]. In Indonesia, smoking begins in adolescence, with 80% of smokers starting before the age of 19, allowing them to be unaware of the harmful effects of smoking addiction. According to the data, prevalence among children aged 10 to 18 years has increased from 7.2% in 2013, to 8.8% in 2016, and 9.1% in 2018 [3]. Smoking is harmful to one's health, especially in adolescents who are still growing and developing. Adolescent smoking can have both physical and psychological consequences. Smoking is linked to a number of chronic diseases, including those involving the cardiovascular, pulmonary, digestive, and reproductive systems [4].

Emotionally adolescent smokers tend to be more impulsive and depressed quickly [5] and indifferent to the environment because they are more comfortable with smoking behavior [6]. Adolescents must make efforts to avoid or stop smoking as a result of this problem. Smoking behavior is thought to be protected by psychological structures such as coping mechanisms. Coping is a necessary response in adolescents in order for them to adjust to their peers, adults, and daily challenges [7]. Smoking cessation can be supported by increased self-efficacy and adaptive coping [8]. Coping mechanisms are smokers' responses to changes in the internal and external environment that are beyond their capacity [9]. Proactive coping is developed by utilizing internal resources and estimating skills to cope with stressors that may come with various skills and is always active even when there are no stressors, implying that it was formed prior to coping and anticipatory coping [10]. Individuals who use proactive coping will improve their environment and life rather than reacting to their past and thinking about the future by building and pooling available resources to deal with stressors [11]. Proactive coping is a problem-solving method that is proactive and constructive, as well as a step forward in prevention and treatment [12], [13]. Adolescents' ability to quit smoking is strongly reliant on proactive coping. Adolescents with positive proactive coping are more likely to avoid or stop smoking. Smoking behavior has not been addressed in the current proactive coping instruments.

The available proactive coping instruments are still general in nature, namely the proactive coping inventory (PCI) based on Ralph Schwarzer's theory. PCI is a set of coping methods that integrate affective, cognitive, and social variables. Its application is based on the concept of multidimensional coping, in which coping processes and activities happen at the same time depending on cognitive and behavioral capacities [14]. Proactive coping scale, preventative coping scale, reflective coping scale, strategic planning scale, instrumental support seeking scale, emotional support seeking scale, and avoidance coping scale are the seven subscales of PCI. However, instruments measured proactive coping toward smoking cessation are limited. Thus, the aim of this study was to develop, test the validity and reliability of a proactive coping instrument for smoking cessation among adolescents.

# 2. RESEARCH METHOD

This study used a quantitative qualitative research design (mixed-method), both cross-sectional quantitative research and phenomenological methods-based qualitative research. This study's design included exploratory sequential mixed methods. In this process, qualitative data were first investigated, after which the data were analyzed, and the conclusions were used as a springboard for the subsequent phase of gathering quantitative data. The data collection process was divided into two phases, namely instrument development and psychometric testing.

# Phase I: Instrument development

In this stage, literature studies and in-depth interviews were conducted to find domains related to proactive coping smoking cessation in adolescent smokers, indicators and identification of items for each indicator. The processes were carried out with literature studies and in-depth interviews with four to ten informants each [15]. The first step was coding by the researcher and the addition of the number of informants is carried out until no new data is obtained or saturation occurs [16]. The collection of indicators from the literature study and in-depth interviews was then tabulated on the list of indicators. The items questions are then given to the expert to be assessed for feasibility. Modified delph method is divided into two research substages, namely Stage A and Stage B. This stage is the advance validity test stage [17]. Stage A is the weighting of indicators (results from phase I research) to choose which indicators are appropriate for proactive coping smoking cessation. Domain and question indicators result phase 1 was reviewed by three nursing experts (expert in community nursing and pediatric nursing). At this stage, the experts were assessing the level of relevance of each question item and provides an assessment of the level of ease of understanding. The expert gives a score/rating from 1-4, with a rating of 4=very relevant, 3=relevant but requires little change or modification, 2=slightly relevant and requires very significant change, 1=not relevant. Stage B is a meeting of experts (panel of experts) to re-rating the indicators generated from stage A, using score considerations such as stage A (1-4). All indicators are discussed again in this expert panel. At this stage, modifications are also made from the original list of indicators, adding or removing existing indicators. The final result of this phase B is a list of indicators that are truly appropriate, agreed upon by all experts and systematic (consensus). Stage B is a meeting of experts (panel of experts) to reassess the indicators generated from stage A, using score considerations such as stage A (1-4). All indicators are discussed again in this expert panel. At this stage, modifications are also made from the original indicator list, adding or removing existing indicators. The final result of stage B is a list of indicators that are truly appropriate, agreed upon by all experts and systematic (consensus).

# 2.1. Instrument

Proactive coping smoking cessation (PCSC) is the development of the proactive coping inventory (PCI) consisting of 55 items [14]. PCSC is a questionnaire to assess individuals how to use proactive coping to prevent smoking behavior or smoking cessation by thinking about the future by gathering available resources both internally and externally to deal with stressors. The assessment of PCSC consists of 36 items with six subscales: proactive coping (6), preventive coping (3), reflective coping (7), strategic planning (6), support seeking (11), avoidance (3). Responses to statements rated with a score of 1 stated "not at all true, 2 to "barely true", 3 to "somewhat true" and 4 to "completely true".

# Phase II. Psychometric testing

The results of the advance validity test were obtained from the delphi process score. The logical validity test is carried out with an indicator feasibility assessment procedure using the Aiken's V formula to produce a content validity coefficient, which is based on the results of assessments by several experts on certain items, to determine the contribution of these items in building a construct. Aiken's V formula is formulated as follows. The content validity index (CVI) results obtained are in the range of 0.6 to 1 so that the meaning obtained is high to very high. The greater the value of V, the more correct the item is and the more valid the test. Once the things have passed the content validity test, they are complete. Face validity was carried out on 15 adolescent volunteers to see if they were able to understand the question and how long it took to complete the question. The construct validity stage is to ensure that the items tested are highly correlated with the theoretical constructs used to construct the test using exploratory factor analysis (EFA). Construct validity was tested using factor analysis to obtain the validity of each of the instruments used in this study, namely proactive coping smoking cessation.

# 2.2. Participant

This study recruited adolescents in the city of Bandung as participants with age 12 to 19 years of smokers and non-smokers. The sample size was calculated based on a 1:5 ratio to determine factors [18]. The Proactive coping smoking cessation 36 items. The main characteristics of respondents (gender, age, ethnicity, smoking status).

# 2.3. Data collection

Data collection was obtained from participants recruited by convenience sampling. Questionnaires were distributed through a Google Forms. It was equipped with an explanation of the research and consent from participants, if they agreed, participants could continue to fill out the questionnaire.

# 2.4. Data analysis

Data analysis using Statistical Package for Social Science (SPSS) 20.0 software (Chicago, Illinois, USA). Normality was tested using the Kolmogorov-Smirnov test. Since the data were normally distributed, parametric tests were used. The demographic data of the participants were described using descriptive statistics. Categorical data variables are reported using percentages and frequencies. PCSC evaluation through exploratory factor analysis (EFA). Domains were associated using principal component analysis and Promax tilt rotation [18]. The cutoff point for factor loading was set at 0.4 [19].

# 3. **RESULTS**

In this study, researchers interviewed 23 adolescents as participants via videocall until data saturation occurred. The indicators are smoking cessation initiatives themselves, smoking cessation is a challenge, the impact of smoking on the health of self and others, smoking cessation can save costs, the environment can support habits, replace smoking habits, support from others, and avoid smoking behavior. After the proactive indicators of adolescent coping in smoking behavior, the researchers conducted a literature study and developed a PCI-based questionnaire and produced seven subscales with 39 question items. The questions are then given to the expert to be assessed for feasibility. The result of phase I (instrument and develop) 36 question items, three questions are omitted, the instrumental support seeking scale and emotional support seeking scale indicators are combined. So that there are six indicators, namely proactive coping scale, prevention coping scale, reflective coping scale, strategic planning scale, support seeking scale, and avoidance coping scale. Each item deserves to be read and understood and is relevant to proactive prevention of smoking cessation, 36 items with six subscales. The content validity index (CVI) ranged from 0.6 to 1.00 as shown in Table 1.

	Exami	ner 1	Exami	ner 2	Exami	ner 3			
Item	Value (R)	S=R-Lo	Value (R)	S=R-Lo	Value (R)	S=R-Lo	∑s	V	
1	4	3	4	3	4	3	9	1.0	Valid
2	4	3	4	3	3	2	8	0.9	Valid
3	4	3	4	3	3	2	8	0.9	Valid
4	4	3	4	3	3	2	8	0.9	Valid
5	4	3	3	2	3	2	7	0.8	Valid
6	3	2	3	2	4	3	7	0.8	Valid
7	4	3	4	3	2	1	7	0.8	Valid
8	4	3	4	3	3	2	8	0.9	Valid
9	4	3	4	3	2	1	7	0.8	Valid
10	4	3	4	3	2	1	7	0.8	Valid
11	4	3	4	3	3	2	8	0.9	Valid
12	4	3	4	3	3	2	8	0.9	Valid
13	4	3	4	3	3	2	8	0.9	Valid
14	4	3	2	1	3	2	6	0.7	Valid
15	4	3	3	2	3	2	7	0.8	Valid
16	4	3	4	3	3	2	8	0.9	Valid
17	4	3	4	3	4	3	9	1.0	Valid
18	4	3	4	3	4	3	9	1.0	Valid
19	4	3	4	3	3	2	8	0.9	Valid
20	4	3	3	2	3	2	7	0.8	Valid
21	4	3	3	2	3	2	7	0.8	Valid
22	4	3	3	2	3	2	7	0.8	Valid
23	4	3	3	2	3	2	7	0.8	Valid
24	4	3	3	2	3	2	7	0.8	Valid
25	4	3	2	1	2	1	5	0.6	Valid
26	4	3	3	2	3	2	7	0.8	Valid
27	4	3	4	3	4	3	9	1.0	Valid
28	4	3	4	3	3	2	8	0.9	Valid
29	4	3	4	3	4	3	9	1.0	Valid
30	4	3	3	2	4	3	8	0.9	Valid
31	4	3	3	2	3	2	7	0.8	Valid
32	4	3	3	2	3	2	7	0.8	Valid
33	3	2	3	2	3	2	6	0.7	Valid
34	4	3	4	3	3	2	8	0.9	Valid
35	4	3	4	3	3	2	8	0.9	Valid
36	4	3	4	3	3	2	8	0.9	Valid

Table 1. Content validity index calculated based on Aiken's V formula

Phase 2 research findings are the study included a total of 300 participants (60.7% boys and 39.3% girls), 15.7% of people smoked every day, 36.7% did not smoke every day, 9% are ex-smokers, and 38.7% did not smoke as shown in Table 2. The results of the explanatory analysis showed the Kaiser-Meyer-Olkin measure (KMO) value of 0.913 and Bartlett's test of sphericity significant=0.00 (<0.05), shows the adequacy of the sample and factor analysis can be carried out.

Table 2	2. The	main	character	istics of the	respond	ents (1	n=300)
					n	%	_
	Gende	r	Boys		182	60.7	
			Girls		118	39.3	

Gender	Boys	182	60.7
	Girls	118	39.3
Religion	Islam	292	97.3
	Cristian	8	2.7
Ethnicity	Sundanese	264	88.0
	Javanese	22	7.3
	Batak	2	7.0
	others	12	4.0
Age	12-15	8	2.7
	>15-17	57	19.0
	>17-19	235	78.3
Smoking status	Smoker every day	47	15.7
	Don't smoke every day	110	36.7
	Ex-smoker	27	9.0
	Do not smoker	116	38.7

The relationship between the formation of factors and questions is in the range of 59.3%-88.2%. Based on eigenvalues >1, then reducing 36 questions to 6 components with the amount of variance that can be explained by the formed factor is 74.6%. The results of the analysis obtained that the correlation between the

factors formed and the question items ranged from 0.514 to 0.844. Adjusted to the development of the PCI subscale and the results of the factor analysis, the PCSC formed into 6 subscales. The subscale groupings are support seeking (11 items), reflective coping (7 items), strategic coping (6 items), proactive coping (3 items), avoidance (3 items) and preventive coping (3 items). Loading factor coefficient on support seeking 0.54-0.82, reflective coping 0.585-0.823, coping strategies 0.580-0.736, proactive coping 0.439-0.648, avoidance 0.586-0.826 and preventive coping 0.507-0.707 as shown in Table 3 (see in Appendix). The Pearson correlation coefficients between the 36 items ranged from 0.520 to 0.837, resulting in a value higher than r table with a 5% significance level. When the results of the validity test show that all items have a significance value of less than 0.05, all items are declared valid as shown in Table 4. The PCSC has a Cronbach's alpha of 0.971, with each item ranging from 0.970 to 0.972; all of the questions in this instrument are reliable as presented Table 5.

	1	2	3	4	5	6
Proactive coping scale	0.621**					
Prevention coping scale	$0.520^{**}$	$0.745^{**}$				
Reflective coping scale	$0.724^{**}$	$0.719^{**}$	$0.652^{**}$			
Strategic coping scale	$0.637^{**}$	0.603**	0.691**	$0.826^{**}$		
Support seeking scale	$0.794^{**}$	0.535**	$0.827^{**}$	$0.763^{**}$	$0.779^{**}$	
Avoidance coping scale	$0.687^{**}$	0.691**	$0.652^{**}$	0.823**	$0.748^{**}$	$0.744^{**}$
Note: * p<0.05; **p<0.00	1					

Table 4. Item correlation of each subscale of PCSC

Table 5. Internal consistency using alpha cronbach for the total scale and sub-scale of PCSC

	Total item	Cronbach alpha
Proactive coping scale	11	0.971
Prevention coping scale	7	0.970
Reflective coping scale	6	0.972
Strategic coping scale	6	0.970
Support seeking scale	3	0.815
Avoidance coping scale	3	0.824

#### 4. DISCUSSION

The development of instruments has been conducted by researchers to produce a valid and reliable questionnaire. The test that is commonly carried out on research instruments in the form of questionnaires includes test validity tests, language understanding tests, and reliability tests. Six new scales consisting of 36 items have been developed from PCI specified by using 3 stages of exploration, modified Delphi methods, validity and reliability. Consideration of instruments based on PCI [20] and use the same response to the calculation scale. Proactive coping (PCI) involves goal setting and attainment, preventive coping, (adaptive) reaction delay, seeking social and instrumental support, reflective coping, and strategic planning [20] as well as building resources to support goal achievement. PC-related research may have important implications for practice, as it can be implemented in professional intervention [13]. Proactive coping appears to be a crucial coping style in the sense that it can protect an individual from anticipated or unexpected stressful events [21]. PCSC has good validity and readability. The confirmatory factors analysis (CFA) results for 36 items confirmed 6 PCSC factors. Each item contributes to the subscale by showing the validity of the construct of the overall scale factor. PCSC measures proactive coping which is defined as an effort to develop resources that facilitate the achievement of smoking cessation goals. PCSC consists of 6 sub scale where there is combined Scala Instrumental Support seeking and emotional support seeking.

The supportseeking scale assesses a person's willingness to seek advice and assistance from people in his social network, and the individual regulates his emotional distress by disclosing his feelings to significant others and evoking empathy [22]. Social support was found to be a significant mediator of proactive coping and growth, and it was determined to be an outcome of this disposition's assertiveness [23]. Support seeking subscale consists of 11 items of questions. Includes instrumental and emotional support seeking. Included in explicit social support seeking are instrumental emotional social support seeking and social support seeking. Emotional social support seeking is the act of seeking emotional comfort, whereas instrumental social support seeking information, feedback or advice from others in facing stress. The search for emotional support refers to emotional settings with other people's support [25]. Each individual has a unique perspective on support seeking, where social support assists individuals in coping with everyday stressors, reducing the severity of mental and physical illnesses, and adapting to new environments [26]. Positive emotions are related to health

promotion, good welfare and social relations [10]. Reflective Coping Scale consists of 7 items. The reflective coping scale measures an individual's capacity to analyze behavioral alternatives and generate actionable strategies[27]. The reflective coping scale combines several reflections with alternative behavior, analyzing effectiveness, resources and making action plans to handle stress [25]. Reflection is a useful mechanism for the learning process because it involves identifying feelings, critical analysis and development of learning perspectives [28]. Strategic Planning Scale consists of 6 items questions. Strategic planning is interpreted as a scale planning to measure the ability of individuals in solving a problem [27]. The scale for strategic planning measures the extent into which an individual can break down complex tasks components that are manageable in order to complete an action plan [22].

Proactive Copings consists of 6 questions and preventive coping consists of 3 questions. Proactive Coping includes setting themselves to achieve goals [25]. Proactive coping is defined as an active, futureoriented approach to coping that involves viewing potential stressors as challenges rather than threats[29]. Proactive coping and preventive realized in the same behavior; the difference is the situation assessment. In proactive coping individuals are motivated to face challenges, while preventive coping behavior occurs due to threats [14]. Possessing a proactive coping style has positive repercussions for both individuals and organizations, including improved job performance and job satisfaction [30]. The preventive coping scale measures an individual's ability to anticipate and prepare for potential stressors before they manifest (threat appraisal) [27]. Preventive coping is the process by which an individual constructs resources and resistance in anticipation of a potential future stressor [30].

Avoidance Coping Scale consists of three question items. The avoidance coping scale assesses how much the individual uses delaying tactics to avoid taking action in a stressful situation [22]. Avoidance Scala includes strategies used by individuals to avoid focusing on stress triggers. Overcoming avoidance is a passive approach and avoiding [27]. Avoiding potentially stressful situations is one way to reduce the possibility of dealing with stressors. It has been discovered that age differences in the causes of stress avoidance are primarily related to interpersonal stress [31]. Adolescents who tend to use escape coping, avoid and distance themselves from stressors [7].

# 5. CONCLUSION

The development of psychological frameworks in coping research can contribute to a better understanding of the mechanism of human coping. PCSC shows the acceptable internal and external consistency and the results of the EFA verify that the six-factor model correctly represents the original PCI factor structure. According to the results of applicable instruments and PCSC offers provide new opportunities and research directions in smoking cessation in clinical research, psychological health and social research. Future studies are required to test the instrument in different setting and culture.

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# **BIOGRAPHIES OF AUTHORS**



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406 🗖



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# Appendix

T 11 0	<b>F</b> (	1 1.	C .1	• 1	1	c	· •	•	1 .	· •
I ahle 3	Hactor	loadinge	of the g	01V 011P	N-SCALES	OT.	nroactive	coning	smoking.	Ceccation
radic J.	I actor	Ioaumes	or the s	sia sut	-scales	UI.	Dioactive	CODINE	SHIOKINE	cossation
		- · · · · · · · · · · · · · · · · · · ·						· · · · ·		

			Cor	mponent			KMO	Bartlett's
	Support	Reflective	Strategy	Proactive	Preventive	Avoidance		Test of
	seeking	coping	coping	coping	coping	coping		Sphericity
My friends will listen to	.820						0.913	0.00
my complaints if there is								
difficulty quitting								
smoking								
My family will listen to	.815							
my complaints if it's								
difficult to quit smoking								
I ask for help from others	.716							
to control my efforts to								
quit smoking								

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	407
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lable 3. F	actor load	lings of the	six sub-sc	ales of proa	active coping	g smoking ce	essation	
	<b>G</b> (		Co	mponent	D (	1	KMO	Bartlett's
	seeking	coping	coning	coning	coping	coning		Sphericity
My friends encourage me	.714	coping	coping	coping	coping	coping		Spherietty
to quit smoking								
I will involve the closest	.711							
people who support my								
efforts to quit smoking	600							
Motivation from other	.688							
and family) halps ma to								
and family) helps me to								
Information from others	673							
about the dangers of								
smoking helped me to								
quit smoking								
I try to discuss and	.641							
explain my efforts to quit								
smoking to get feedback								
(teachers friends and								
family)								
I replace smoking with	.598							
candy or snacks								
I will prepare myself to	.549							
overcome the difficulties								
of quitting smoking	C 47							
I made a smoking	.547							
follow it								
I think I can save money		.823						
if I quit smoking								
Quitting smoking can		.775						
improve my finances								
My goal is to quit		.752						
smoking for my own								
I think quitting smoking		706						
will improve my health		.700						
I imagine that exercise		.668						
and positive activities								
can help me quit smoking								
I am able to control		.660						
myself to quit smoking		E95						
My goal is to quit		.385						
my family and those								
around me								
I have a plan to overcome			.736					
the challenges of quitting								
smoking								
I will always improve my			.727					
smoking cessation efforts								
that have been taken								
I plan a strategy to quit			715					
smoking, I hope it will be			1710					
the best result								
I will strengthen my			.671					
intention to quit smoking,								
It I encounter obstacles			660					
1 exercise or other			.002					
smoke								
I protect my family's			.580					
health by not smoking								
I imagine it will be				.653				
difficult to quit smoking								
but I will continue to do it				640				
a challenge to deal with				.048				

Table 3. F	actor load	lings of the	six sub-sc	ales of proa	active coping	g smoking co	essation	
			Co	mponent			KMO	Bartlet
	Support	Reflective	Strategy	Proactive	Preventive	Avoidance		Test o
	seeking	coping	coping	coping	coping	coping		Spheric
is encourage me	.714							

Development and validation of proactive coping smoking cessation in adolescents (Wini Hadiyani)

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Table 3. F	factor load	lings of the	six sub-sc	ales of proa	active coping	g smoking ce	essation	
		0	Co	mponent		0	KMO	Bartlett's
	Support seeking	Reflective coping	Strategy coping	Proactive coping	Preventive coping	Avoidance coping		Test of Sphericity
will quit smoking radually by reducing the number of cigarettes				.603				
will convince others hat I can quit smoking				.484				
will consider the lifficulty of facing the hallenge of smoking as a weiting experience				.446				
Health problems make ne take the initiative to uit smoking				.439				
choose not to hang out ith friends to avoid the estre to smoke					.826			
better avoid people who nvite smoking					.813			
prefer to sleep than moking					.586			
try to show others that I can avoid smoking						.707		
'm always trying to find a way to quit smoking						.563		
Although quitting smoking is difficult, I will try to achieve it						.507		